WELCOME TO THE WEBINAR: The Challenge of Formulating with Natural Colors

Hosted by the PMCA Research Committee Eric Schmoyer, *Barry Callebaut* – Chair

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Let's get started!

Overview

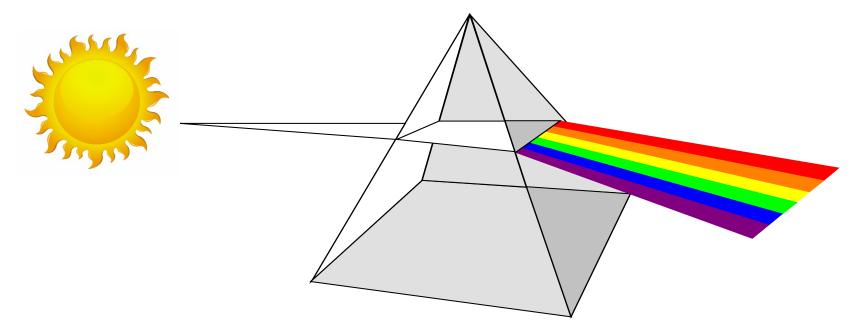
- Basics of Food Colors
- Applications
- Food Color Regulations



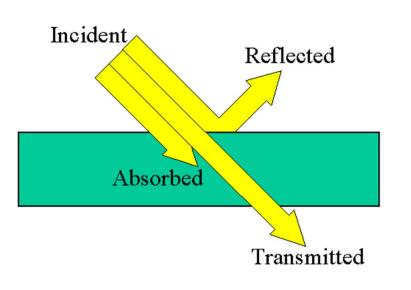
Basics of Food Colors

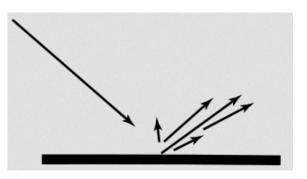
Where Does Color Originate?

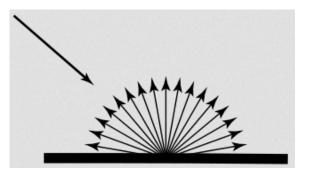
- Light from the Sun appears colorless, sometimes referred to as "white light".
- White light contains all the colors of the rainbow.
- The wavelengths of light can be separated with a prism.



Basics of Food Colors

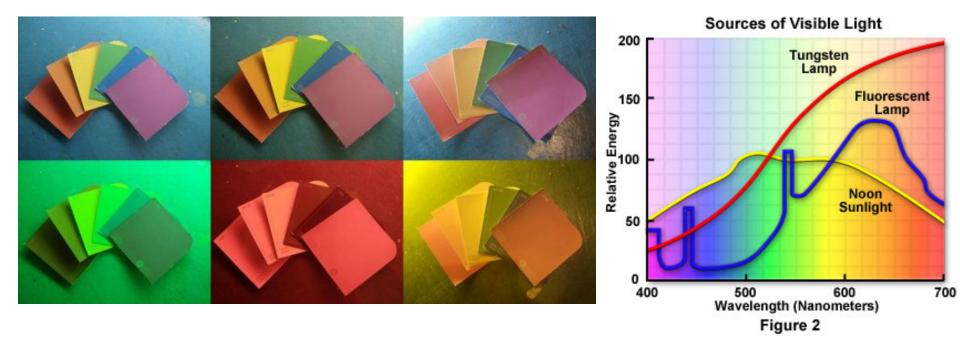


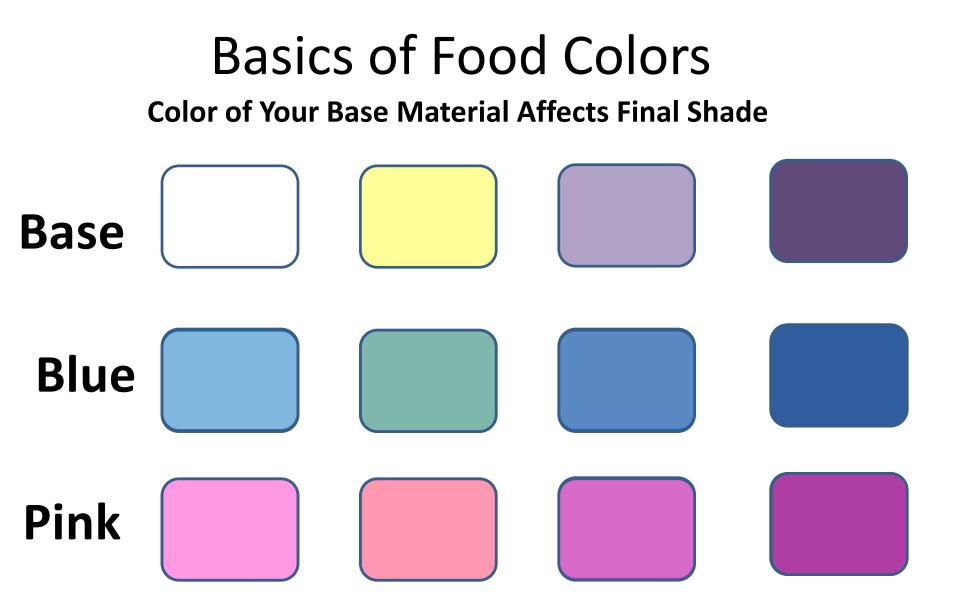




Basics of Food Colors

Light Source Affects Color Perception





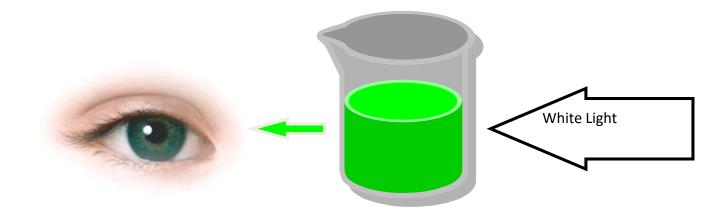
Synthetic Colors



Synthetic Colors

Dyes

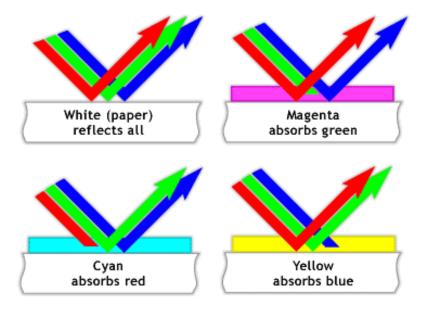
- Water Soluble
- Selectively Absorb Wavelengths of Light
- The transmitted light is perceived as color to our eyes
- Dyes cause products to appear darker since they limit the amount of light which reaches our eyes

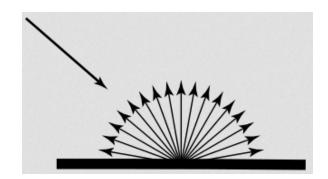


Synthetic Colors

<u>Lakes</u>

- Lakes are pigments, and are water and oil dispersible
- Used in products where color migration or bleed is not desired
- Lakes are dispersed throughout the product, not dissolved
- The lake pigment absorbs specific wavelengths of light and reflects others
- Lakes scatter light, contributing to opacity





Natural Colorants



Color (Solubility)	Shade	Forms	Stability	Color in Candy
Turmeric (Oil Soluble) (Water Dispersible)	Yellow	Powder Dispersion Oleoresin	Heat pH below 6.5 Poor light	
Beta-Carotene (Oil Soluble) (Water Dispersible)	Yellow Orange	Powder Dispersion	Heat pH Light Poor Oxidation	
Paprika (Oil Soluble) (Water Dispersible)	Orange Red	Powder Dispersion Oleoresin	Heat Light pH Poor Oxidation	
Annatto (Bixin - Oil Soluble) (Norbixin – Water Soluble)	Yellow Gold	Powder Dispersion	Light Acid Proof Form Fair Heat Fair Oxidation	
Anthocyanins (Water Soluble) (Can be made into Oil Dispersible)	Pink Red Purple Blue	Powder Liquid	Heat Light pH changes color	
Carmine (Oil and water dispersible)	Pink Red Purple	Powder Dispersion Liquid	Heat pH above 3.5 Light	

Color (Solubility)	Shade	Forms	Stability	Color in Candy
Beet (Water Soluble) (Can be made into Oil Dispersible)	Pink Purple Red	Powder Liquid Dispersion	Fair Heat Light Oxidation pH 3.5-5.5	Children and Child
Spirulina (Water Soluble) (Can be made into Oil Dispersible)	Blue	Powder Dispersion	Light Oxidation Below 45°C pH 4-7	
Chlorophyll Chlorophyllin (Chlorophyll - Oil Soluble) (Chlorophyllin – Water Soluble)	Green	Powder Dispersion Oleoresin	Heat Light pH	
Caramel (Water Soluble) (Can be made into Oil Dispersible)	Brown	Powder Dispersion	Light Acid Proof Form Fair Heat Fair Oxidation	
Oxides (Oil and water dispersible)	Red Black Yellow White	Powder Liquid	Heat Light	
Vegetable Carbon (Oil and water dispersible)	Black	Powder Dispersion Liquid	Heat pH above 3.5 Light	

Cost Differences

- CIU has declined from new advances in technologies & techniques
- In General
 - Natural colors are more expensive than synthetic colors, but are not cost prohibitive
 - Oxides are less expensive than synthetic colors
 - Nature Identical Colors are less expensive than Nature Derived
 - Color delivery systems (such as dispersions and emulsions) can reduce the cost-in-use of colors
- Examples of Usage Rates
 - Hard Panning
 - FD&C Blue No 1 Lake: 2.0-3.0% in coating syrup
 - Spirulina Power: 1.0-2.0% in coating syrup
 - Gummies
 - FD&C Red No. 40 Dye: 0.025%-0.05%
 - Red Cabbage (anthocyanin): 0.15-0.30%

Supply Chain

- Nature Derived colors are harvested from farms
- Most of the larger color companies have vast supply chains which can supply colors large enough for the biggest of brands
- Be sure to speak with your color vendor about their natural color volume capabilities



Hard Panning

Natural Color Selection	Delivery Methods
 Water Soluble or Water Dispersible Moderate to Great Heat Stability Coating Syrup pH 	 Sugar Based Aqueous Dispersions Liquid Colors Powders Rice matrix pigments

Reds	Oranges	Yellows	Greens
 Carmine Iron Oxide Anthocycanins 	 β-Carotene Paprika 	 o Turmeric o Riboflavin o β-Carotene 	 Mixture of Blues & Yellows

Blues	Violets	Blacks	Whites
 Anthocyanins Spirulina 	 Anthocyanins Mixtures of Blues and Reds Carmine 	 Vegetable Carbon Iron Oxide Caramel 	 Titanium Dioxide Opacifying Agents

Hard Panning

Common Concerns/Issues

- Poor Sealing and Drying
- •Temperature/pH/hold time of Syrup
- •Brix of Syrup
- •Achieving an opaque base layer
- •Rework or additional layers

Possible Controls/Solutions

- Ensure center is sealed well
- Ensure grossing shell is opaque/smooth
- Use heat stable colors for high brix applications
- Minimize inversion in syrup for proper drying (for sucrose)
- Control drying air.... Flow rate, time applied, humidity











Over-Drying

pH too low

°Bx too low

Too Fast Rotation

Color variation – dye vs lake

Hard Candy

Natural Color Selection	Delivery Methods
 Water Soluble or Dispersible Colors Great Heat Stability pH Stability Acids/Flavors Used 	 Liquid Colors Propylene Glycol Glycerin

Reds	Oranges	Yellows	Greens
 Lycopene Iron Oxide Anthocycanins 	 β-Carotene Paprika Canthaxanthin 	 Turmeric Riboflavin β-Carotene 	 Mixture of Blues & Yellows

Blues	Violets	Blacks	Whites
 Anthocyanins 	 Anthocyanins Mixtures of Blues and Reds 	 Vegetable Carbon Iron Oxide Caramel 	 Titanium Dioxide Opacifying Agents

Hard Candy

Common Concerns/Issues

- •Heat
- •Added moisture
- •Light stability
- •Acids/Flavors Used
- •Flavor Off Notes

Possible Controls/Solutions

- Use flavors/acids which do not adversely affect color
- Add heat sensitive colors after cooking
- Protect product from light exposure if less light stable colors are required for desired shade



Too Much Moisture Beet added at 100°C and 145°C

Flavor variations

Gummies/Gels

Natural Color Selection	Delivery Methods
 Water Soluble or Water Dispersible Colors Moderate or Greater Heat Stability pH compatible with flavoring systems 	 Glycerin Propylene Glycol Liquid Colors Powders

Reds	Oranges	Yellows	Greens
 Carmine Iron Oxide Anthocycanins 	 β-Carotene Paprika Canthaxanthin 	 Turmeric Riboflavin β-Carotene 	 Mixture of Blues & Yellows

Blues	Violets	Blacks	Whites
 Anthocyanins Spirulina 	 Anthocyanins Mixtures of Blues and Reds 	 Vegetable Carbon Iron Oxide Caramel 	 Titanium Dioxide

Gummies/Gels

Common Concerns/Issues

- •Heat
- •Flavor Off Notes
- •Light stability
- •pH (especially when specific one is needed for proper set)
- •Vitamin/neutraceuticals

Possible Controls/Solutions

- Speak with color vendor about all vitamins/nutraceuticals used
- Add heat sensitive colors after gelatin is solubilized
- Use opaque packaging for light sensitive colors and protection for WIP
- Consider alternate gel agent (LM pectin versus HM for blue/purple shades)



Vitamin C Attacking Anthocyanins Overtime



Light Stability of Turmeric Over 24 hours

Compound Coatings/ Fat Based Fillings

Natural Color Selection	Delivery Methods
 Oil soluble or oil dispersible colors Emulsions Moderate Heat Stability 	 Oil WO emulsion Powders Rice matrix pigments

Reds	Oranges	Yellows	Greens
 Iron Oxide Anthocycanins Carmine 	 β-Carotene Paprika Annatto 	 Turmeric Riboflavin β-Carotene 	 Mixture of Blues & Yellows

Blues	Violets	Blacks	Whites
 Anthocyanins Spirulina 	 Anthocyanins Mixtures of Blues and Reds Carmine 	 Vegetable Carbon Iron Oxide Caramel 	o Titanium Dioxide

Compound Coatings/Fat Based Fillings

Common Concerns/Issues

- •Heat
- •Flavor Off Notes
- •pH
- •Acids/Flavors Used
- •Light stability

Possible Controls/Solutions

- Use opaque packaging for light sensitive colors
- Introduce heat sensitive colors while product is cooling
- Use suitable oils/emulsifiers for emulsions and dispersions



Too Much Heat Applied



Poor Emulsion Used

Compressed Tablets

Natural Color Selection	Delivery Methods
 Plating-grade colors Moderate to great heat stability Interaction with flavoring system Light stable colorants dependent on packaging 	PowdersRice matrix pigments

Reds	Oranges	Yellows	Greens
 Carmine Anthocycanins 	 β-Carotene Paprika Annatto 	 Turmeric Riboflavin β-Carotene 	 Mixture of Blues & Yellows

Blues	Violets	Blacks	Whites
 Anthocyanins Spirulina 	 Anthocyanins Mixtures of Blues and Reds Carmine 	 Vegetable Carbon Caramel 	0 N/A

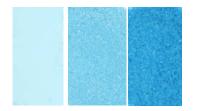
Compressed Tablets

Common Concerns/Issues

- Pressure used in compression
- •Acids/Flavors Used
- •Flavor Off Notes
- •Light Stability
- •Base particle size

Possible Controls/Solutions

- Use opaque packaging for light sensitive colors
- Use flavors/acids which do not adversely affect color
- Change base particle size to minimize color usage



Strength Increases With Increasing Particles Size



Non-Plating Grade Vs Plating Grade Beet

Marshmallows

Natural Color Selection	Delivery Methods
 Water Soluble or Water Dispersible Colors Oxidative Stability 	Liquid Colors (not oil based)

Reds	Oranges	Yellows	Greens
 Carmine Iron Oxide Anthocyanins 	 β-Carotene Paprika Canthaxanthin 	 o Turmeric o Riboflavin o β-Carotene 	 Mixture of Blues & Yellows

Blues	Violets	Blacks	Whites
 Anthocyanins Spirulina 	 Anthocyanins Mixtures of Blues and Reds 	 Vegetable Carbon Iron Oxide Caramel 	0 N/A

Marshmallow

Common Concerns/Issues

- •Flavor Off Notes
- •Light and oxidative stability
- •Heat stability
- •De-aeration due to the surfactant nature of some carriers, oil soluble colors
- •Inability to get dark color
- •Base color influence

Possible Controls/Solutions

- Control density to assure consistent color
- Add heat sensitive colors toward end of process
- Use opaque packaging for light sensitive colors and protection for WIP
- Choose pastel colors

Sugar/ corn syrup

Dried Cane/ tapioca syrup



Dried Cane/ brown rice syrup



PMCA Webinar

May 31, 2016

Regulation of "natural" colors

- Color additives exempt from certification "natural colors"
 - Some are readily recognizable as foods or derived from natural food sources such as vegetables
 - Ex. paprika, turmeric, tomato lycopene, grape skin extract, fruit and vegetable juices
 - Some have animal sources
 - Ex. cochineal/carmine
 - Others are mineral in origin
 - Ex. titanium dioxide
 - Or synthesized/processed
 - Ex. caramel, canthaxanthin
- Specifications and requirements in 21 CFR Part 73

US FDA Labeling of Foods Containing Colors

- No provision in the FDA regulations for the designation of color additives as "natural"
 - Color additives are "certified colors" or "color additives exempt from certification"
- Exempt from Certification Colors must be declared
 - Either as "artificial color," "artificial color added," or "color added"
 - Or, as "colored with _____" or "_____" color"
- Are there any circumstances in which a food may be labeled as "naturally colored" or "colored naturally" or something similar?
 - Yes, if the color additive was from the food it is coloring

US FDA Labeling of Foods Containing Colors

- Carmine/cochineal
 - Specific declaration required 21 CFR 73.100(d)(2)
 - Allergenic in small proportion of the population
- Paprika, turmeric, saffron, other spices that may be used as colors— "spice and coloring." 21 CFR 101.22(b)(2)
- Fruit juice (21 CFR 73.250) and vegetable juice (21 CFR 73.260)
 - Attractive for clean label purposes.
 - Key issue is that these must be juices, not pigments isolated from juices.
 - FDA has issued regulatory correspondence on this topic collected by IACM for member use

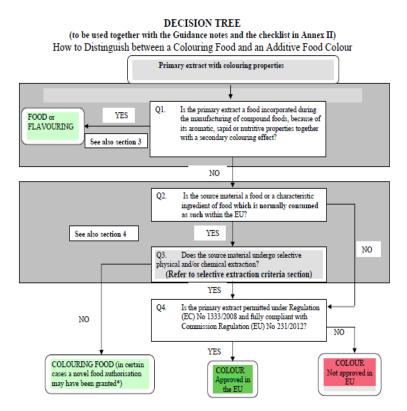
European Labeling

- In Europe, all food additives are given labeling codes commonly referred to as "E-numbers"
 - Colors are traditionally labeled not by name but by E-number
- Colors are considered Food Additives
 - Substances which add or restore color in a food, and include natural constituents of foods and natural sources which are normally **not consumed as foods as such and not normally used as characteristic ingredients of food**.
 - Preparations obtained from foods and other edible natural source materials obtained by physical and/or chemical extraction resulting in a selective extraction of the pigments relative to the nutritive or aromatic constituents are colors within the meaning of this Regulation
 - Selective Extraction is not defined in EU legislation but Commission developed Guidance notes on the classification of food extracts with coloring properties

• Colors are not:

 foods, whether dried or in concentrated form, includingflavorings incorporated during the manufacturing of compound foods, because of their aromatic, sapid (taste) or nutritive properties together with a secondary coloring effect

EU Coloring Foods



Canadian Regulations

- Colors are regulated by the Canadian Food and Drug Act, Division 6
 - B.06.01 Natural Colors (contains specifications and listed by pigment)
 - Table III (Division 16) Food Additives that may be used as coloring agents
- Basic Labeling Requirements are included in Annex 2-2 Class Names for Ingredients[B.01.010(3)(b)]
 - When one or more of the colors listed in Table 3 of Division 16 are used label as "Color"
 - Labels must be bilingual (English and French)
- Canada has proposed amendments that would require that manufacturers list all food colors by their common name within the list of ingredients on the food label

Codex GSFA

- There are currently 46 colors with draft and/or adopted provisions in the GSFA
 - 8 colors have a JECFA ADI of "not specified" and are listed in Table 3 of the GSFA
 - Examples include Beet red, 3 Lycopenes and Titanium dioxide
 - 38 colors have a numerical ADI and are only listed in Tables 1 and 2 of the GSFA
- Total of 1,895 draft and adopted provisions for colors in the GSFA
 - Represent ~ 1/3 of all provisions in the GSFA
 - 990 adopted provisions and 905 draft provisions
- New additives must be assigned an INS number by CCFA and undergo a JECFA review before being considered for GSFA
 - IACM has requested new INS numbers for: spirulina extract, red radish color, purple sweet potato color, carthamus yellow, black carrot extract and JECFA review of spirulina extract
- Note 161 Challenges
 - "Subject to national legislation of the importing country aimed, in particular, at consistency with Section 3.2 of the Preamble"
 - There are 125 provisions for colors in the GSFA associated with Note 161 (~30% of all provisions associated with Note 161)

International Regulations

- Most countries treat colors as a food additive and do not regulate "natural" colors differently than synthetic
 - Trend toward following Codex and/or EU model for regulating food colors
 - Issue with following Codex model (GSFA) is lack of colors and/or provisions that have been finalized due to ongoing issue of Note 161

Contact



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Thank you for joining the PMCA colors webinar

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